COVER SHEET

Title:

Method and Computer-Readable Medium for Integrating
a Decode Engine with an Intrusion Detection System

Inventor(s):

Richard Paul Tarquini
110 Pahlmeyer Place
Apex, NC 27502

Richard Louis Schertz
117 Prynnwood Ct.
Raleigh, NC 27607

Craig Anderson
1451 Preston Spring Ln.
Chapel Hill, NC 27516

METHOD AND COMPUTER-READABLE MEDIUM FOR INTEGRATING A DECODE ENGINE WITH AN INTRUSION DETECTION SYSTEM

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TECHNICAL FIELD OF THE INVENTION

This invention relates to network technologies and, more particularly, to a method and computer readable medium for integrating a decode engine with an intrusion detection system.

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CROSS-REFERENCE TO RELATED APPLICATIONS

This patent application is related to co-pending U.S. Patent Application, Serial No. _____, entitled "METHOD AND COMPUTER READABLE MEDIUM FOR SUPPRESSING EXECUTION OF SIGNATURE FILE DIRECTIVES DURING A NETWORK EXPLOIT," filed October 31, 2001, co-assigned herewith; U.S. Patent

15 Application, Serial No. _____, entitled "SYSTEM AND METHOD OF DEFINING THE SECURITY CONDITION OF A COMPUTER SYSTEM," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "SYSTEM AND METHOD OF DEFINING THE

20 SECURITY VULNERABILITIES OF A COMPUTER SYSTEM," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "SYSTEM AND METHOD OF DEFINING UNAUTHORIZED INTRUSIONS ON A COMPUTER SYSTEM," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "NETWORK

25 INTRUSION DETECTION SYSTEM AND METHOD," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "NODE, METHOD AND COMPUTER READABLE MEDIUM FOR INSERTING AN INTRUSION PREVENTION SYSTEM INTO A NETWORK STACK," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No.

30 _____, entitled "METHOD, COMPUTER-READABLE MEDIUM, AND NODE FOR DETECTING EXPLOITS BASED ON AN INBOUND SIGNATURE OF THE EXPLOIT AND AN OUTBOUND SIGNATURE IN RESPONSE

THERETO," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "NETWORK, METHOD AND COMPUTER READABLE MEDIUM FOR DISTRIBUTED SECURITY UPDATES TO SELECT NODES ON A NETWORK," filed October 31, 2001, co-assigned herewith; U.S.

5   Patent Application, Serial No. _____, entitled "METHOD, COMPUTER READABLE MEDIUM, AND NODE FOR A THREE-LAYERED INTRUSION PREVENTION SYSTEM FOR DETECTING NETWORK EXPLOITS," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "SYSTEM AND METHOD OF AN OS-INTEGRATED

10   INTRUSION DETECTION AND ANTI-VIRUS SYSTEM," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "METHOD, NODE AND COMPUTER READABLE MEDIUM FOR IDENTIFYING DATA IN A NETWORK EXPLOIT," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled

15   "NODE, METHOD AND COMPUTER READABLE MEDIUM FOR OPTIMIZING PERFORMANCE OF SIGNATURE RULE MATCHING IN A NETWORK," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "METHOD, NODE AND COMPUTER READABLE MEDIUM FOR PERFORMING MULTIPLE SIGNATURE MATCHING IN AN

20   INTRUSION PREVENTION SYSTEM," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "USER INTERFACE FOR PRESENTING DATA FOR AN INTRUSION PROTECTION SYSTEM," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "NODE AND MOBILE DEVICE FOR A

25   MOBILE TELECOMMUNICATIONS NETWORK PROVIDING INTRUSION DETECTION," filed October 31, 2001, co-assigned herewith; U.S. Patent Application, Serial No. _____, entitled "SYSTEM AND METHOD OF GRAPHICALLY DISPLAYING DATA FOR AN INTRUSION PROTECTION SYSTEM," filed October 31, 2001, co-assigned herewith; and U.S. Patent

30   Application, Serial No. _____, entitled "SYSTEM AND METHOD OF

GRAPHICALLY CORRELATING DATA FOR AN INTRUSION PROTECTION SYSTEM," filed October 31, 2001, co-assigned herewith.

BACKGROUND OF THE INVENTION

5   Network-exploit attack tools, such as denial-of-service (DoS) attack utilities, are becoming increasing sophisticated and, due to evolving technologies, simple to execute. Relatively unsophisticated attackers can arrange, or be involved in, computer system compromises directed at one or more targeted facilities. A network system attack (also referred to herein as an intrusion) is an unauthorized or malicious use of a

10   computer or computer network and may involve hundred or thousands of unprotected, or alternatively compromised, Internet nodes together in a coordinated attack on one or more selected targets.

         Network attack tools based on the client/server model have become a preferred mechanism for executing network attacks on targeted networks or devices. High

15   capacity machines in networks having deficient security are often desired by attackers to launch distributed attacks therefrom. University servers typically feature high connectivity and capacity but relatively mediocre security. Such networks also often have inexperienced or overworked network administrators making them even more vulnerable for involvement in network attacks.

20   Network-exploit attack tools, comprising hostile attack applications such as denial-of-service (DoS) utilities, responsible for transmitting data across a network medium will often have a distinctive "signature," or recognizable pattern within the transmitted data. The signature may comprise a recognizable sequence of particular packets and/or recognizable data that is contained within one or more packets.

25   Signature analysis is often performed by a network intrusion prevention system (IPS) and may be implemented as a pattern-matching algorithm and may comprise other signature recognition capabilities as well as higher-level application monitoring utilities. A simple signature analysis algorithm may search for a particular string that has been identified as associated with a hostile application. Once the string is

30   identified within a network data stream, the one or more packets carrying the string may be identified as "hostile," or exploitative, and the IPS may then perform any one

or more of a number of actions, such as logging the identification of the frame, performing a countermeasure, or performing another data archiving or protection measure.

Intrusion prevention systems (IPS) encompass technology that attempts to identify exploits against a computer system or network of computer systems. Numerous types of IPSs exist and each are generally classified as either a network-based, host-based, or node-based IPS.

Network-based IPS appliances are typically dedicated systems placed at strategic places on a network to examine data packets to determine if they coincide with known attack signatures. To compare packets with known attack signatures, network-based IPS appliances utilize a mechanism referred to as passive protocol analysis to inconspicuously monitor, or "sniff," all traffic on a network and to detect low-level events that may be discerned from raw network traffic. Network exploits may be detected by identifying patterns or other observable characteristics of network frames. Network-based IPS appliances examine the contents of data packets by parsing network frames and packets and analyzing individual packets based on the protocols used on the network. A network-based IPS appliance inconspicuously monitors network traffic inconspicuously, i.e., other network nodes may be, and often are, unaware of the presence of the network-based IPS appliance. Passive monitoring is normally performed by a network-based IPS appliance by implementation of a "promiscuous mode" access of a network interface device. A network interface device operating in promiscuous mode copies packets directly from the network media, such as a coaxial cable, 100baseT or other transmission medium, regardless of the destination node to which the packet is addressed. Accordingly, there is no simple method for transmitting data across the network transmission medium without the network-based IPS appliance examining it and thus the network-based IPS appliance may capture and analyze all network traffic to which it is exposed. Upon identification of a suspicious packet, i.e., a packet that has attributes corresponding to a known attack signature monitored for occurrence by the network-based IPS appliance, an alert may be generated thereby and transmitted to a management module of the IPS so that a networking expert may implement security measures. Network-

based IPS appliances have the additional advantage of operating in real-time and thus can detect an attack as it is occurring. Moreover, a network-based IPS appliance is ideal for implementation of a state-based IPS security measure that requires accumulation and storage of identified suspicious packets of attacks that may not be

5   identified "atomically," that is by a single network packet. For example, transmission control protocol (TCP) synchronization (SYN) flood attacks are not identifiable by a single TCP SYN packet but rather are generally identified by accumulating a count of TCP SYN packets that exceed a predefined threshold over a defined period of time. A network-based IPS appliance is therefore an ideal platform for implementing state-

10 based signature detection because the network-based IPS appliance may collect all such TCP SYN packets that pass over the local network media and thus may properly archive and analyze the frequency of such events.

However, network-based IPS appliances may often generate a large number of "false positives," i.e., incorrect diagnoses of an attack. False positive diagnoses by

15 network-based IPS appliances result, in part, due to errors generated during passive analysis of all the network traffic captured by the IPS that may be encrypted and formatted in any number of network supported protocols. Content scanning by a network-based IPS is not possible on an encrypted link although signature analysis based on protocol headers may be performed regardless of whether the link is

20 encrypted or not. Additionally, network-based IPS appliances are often ineffective in high speed networks. As high speed networks become more commonplace, software-based network-based IPS appliances that attempt to sniff all packets on a link will become less reliable. Most critically, network-based IPS appliances can not prevent attacks unless integrated with, and operated in conjunction with, a firewall protection

25 system.

Host-based IPSs detect intrusions by monitoring application layer data. Host-based IPSs employ intelligent agents to continuously review computer audit logs for suspicious activity and compare each change in the logs to a library of attack signatures or user profiles. Host-based IPSs may also poll key system files and

30 executable files for unexpected changes. Host-based IPSs are referred to as such because the IPS utilities reside on the system to which they are assigned to protect.

Host-based IPSs typically employ application-level monitoring techniques that examine application logs maintained by various applications. For example, a host-based IPS may monitor a database engine that logs failed access attempts and/or modifications to system configurations. Alerts may be provided to a management node upon identification of events read from the database log that have been identified as suspicious. Host-based IPSs, in general, generate very few false-positives. However, host-based IPS such as log-watchers are generally limited to identifying intrusions that have already taken place and are also limited to events occurring on the single host. Because log-watchers rely on monitoring of application logs, any damage resulting from the logged attack will generally have taken place by the time the attack has been identified by the IPS. Some host-based IPSs may perform intrusion-preventative functions such as 'hooking' or 'intercepting' operating system application programming interfaces to facilitate execution of preventative operations by an IPS based on application layer activity that appears to be intrusion-related. Because an intrusion detected in this manner has already bypassed any lower level IPS, a host-based IPS represents a last layer of defense against network exploits. However, host-based systems are of little use for detecting low-level network events such as protocol events.

Node-based IPSs apply the intrusion detection and/or prevention technology on the system being protected. An example of node-based IPS technologies is inline intrusion detection. A node-based IPS may be implemented at each node of the network that is desired to be protected. Inline IPSs comprise intrusion detection technologies embedded in the protocol stack of the protected network node. Because the inline IPS is embedded within the protocol stack, both inbound and outbound data will pass through, and be subject to monitoring by, the inline IPS. An inline IPS overcomes many of the inherent weaknesses of network-based solutions. As mentioned hereinabove, network-based solutions are generally ineffective when monitoring high-speed networks due to the fact that network-based solutions attempt to monitor all network traffic on a given link. Inline intrusion prevention systems, however, only monitor traffic directed to the node on which the inline IPS is installed. Thus, attack packets can not physically bypass an inline IPS on a targeted machine

because the packet must pass through the protocol stack of the targeted device. Any bypassing of an inline IPS by an attack packet must be done entirely by 'logically' bypassing the IPS, i.e., an attack packet that evades an inline IPS must do so in a manner that causes the inline IPS to fail to identify, or improperly identify, the attack

5   packet. Additionally, inline IPSs provide the hosting node with low-level monitoring and detection capabilities similar to that of a network IPS and may provide protocol analysis and signature-matching or other low-level monitoring or filtering of host traffic. The most significant advantage offered by inline IPS technologies is that attacks are detected as they occur. Whereas host-based IPSs determine attacks by

10   monitoring system logs, inline intrusion detection involves monitoring network traffic and isolating those packets that are determined to be part of an attack against the hosting server and thus enabling the inline IPS to actually prevent the attack from succeeding. When a packet is determine to be part of an attack, the inline IPS layer may discard the packet thus preventing the packet from reaching the upper layer of the

15   protocol stack where damage may be caused by the attack packet - an effect that essentially creates a local firewall for the server hosting the inline IPS and protecting it from threats coming either from an external network, such as the Internet, or from within the network. Furthermore, the inline IPS layer may be embedded within the protocol stack at a layer where packets have been unencrypted so that the inline IPS is

20   effective operating on a network with encrypted links. Additionally, inline IPSs can monitor outgoing traffic because both inbound and outbound traffic respectively destined to and originating from a server hosting the inline IPS must pass through the protocol stack.

        Although the advantages of inline IPS technologies are numerous, there are

25   drawbacks to implementing such a system. Inline intrusion detection is generally processor intensive and may adversely effect the node's performance hosting the detection utility. Additionally, inline IPSs may generate numerous false positive attack diagnoses. Furthermore, inline IPSs cannot detect systematic probing of a network, such as performed by reconnaissance attack utilities, because only traffic at

30   the local server hosting the inline IPS is monitored thereby.

Each of network-based, host-based and inline-based IPS technologies have respective advantages as described above. Ideally, an intrusion prevention system will incorporate all of the aforementioned intrusion detection strategies. Additionally, an IPS may comprise one or more event generation mechanisms that report identifiable

5   events to one or more management facilities. An event may comprise an identifiable series of system or network conditions or it may comprise a single identified condition. An IPS may also comprise an analysis mechanism or module and may analyze events generated by the one or more event generation mechanisms. A storage module may be comprised within an IPS for storing data associated with intrusion-

10   related events. A countermeasure mechanism may also be comprised within the IPS for executing an action intended to thwart, or negate, a detected exploit.

Applications that employ what is commonly referred to as a decode engine are typically maintained at a management node of a network employing an intrusion detection system. As intrusions are detected, the network frame data and other data

15   associated with the intrusion may be captured and stored where the decode engine is later used to decode the raw network traffic into a form suitable for analysis. Typical decode engines are operable to decode hundreds of network protocols and often employ graphic utilities for formatting the captured data in a user-friendly format. However, implementation of a decode engine at a central location consumes valuable

20   processing resources of the centrally located node employing the decode engine.

SUMMARY OF THE INVENTION

In accordance with an embodiment of the present invention, a method of detecting network-intrusions at a node of a network comprising identifying a frame as

25   an intrusion by an intrusion detection application, archiving event-data associated with the frame, and decoding the event-data by a decode engine, the decode engine integrated within the intrusion detection application is provided.

In accordance with another embodiment of the present invention, a computer-readable medium having stored thereon a set of instructions to be executed, the set of

30   instructions, when executed by a processor, cause the processor to perform a computer

method of identifying, by an intrusion detection application, a frame of data as intrusion-related, and decoding the intrusion-related data.

BRIEF DESCRIPTION OF THE DRAWINGS

5   For a more complete understanding of the present invention, the objects and advantages thereof, reference is now made to the following descriptions taken in connection with the accompanying drawings in which:

FIGURE 1 illustrates an exemplary arrangement for executing a computer system compromise as is known in the art;

10   FIGURE 2 illustrates a comprehensive intrusion prevention system employing network-based and hybrid host-based and node based intrusion detection technologies according to an embodiment of the invention;

FIGURE 3 is an exemplary network protocol stack according to the prior art;

FIGURE 4 illustrates a network node that may run an instance of an intrusion

15   protection system application according to an embodiment of the present invention;

FIGURE 5 illustrates an exemplary network node that may operate as a management node within a network protected by the intrusion protection system according to an embodiment of the present invention;

FIGURE 6 illustrates an exemplary protocol stack having an intrusion

20   protection system application inserted therein and that may incorporate a decode engine according to an embodiment of the present invention; and

FIGURE 7 is a decode engine schematic illustrating a decode engine integrated with an intrusion detection application according to an embodiment of the present invention.

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DETAILED DESCRIPTION OF THE DRAWINGS

The preferred embodiment of the present invention and its advantages are best understood by referring to FIGURES 1 through 7 of the drawings, like numerals being

30   used for like and corresponding parts of the various drawings.

In FIGURE 1, there is illustrated an exemplary arrangement for executing a computer system compromise - the illustrated example showing a simplified distributed intrusion network 40 arrangement typical of distributed system attacks directed at a target machine 30. An attack machine 10 may direct execution of a distributed attack by any number of attack agents 20A-20N by one of numerous techniques such as remote control by IRC "robot" applications. Attack agents 20A-20N, also referred to as "zombies" and "attack agents," are generally computers that are available for public use or that have been compromised such that a distributed attack may be launched upon command of an attack machine 10. Numerous types of distributed attacks may be launched against a target machine 30. The target machine 30 may suffer extensive damage from simultaneous attack by attack agents 20A-20N and the attack agents 20A-20N may be damaged from the client attack application as well. A distributed intrusion network may comprise an additional layer of machines involved in an attack intermediate the attack machine 10 and attack agents 20A-20N. These intermediate machines are commonly referred to as "handlers" and each handler may control one or more attack agents 20A-20N. The arrangement shown for executing a computer system compromise is illustrative only and may compromise numerous arrangements that are as simple as a single attack machine 10 attacking a target machine 30 by, for example, sending malicious probe packets or other data intended to compromise target machine 30. Target machine may be, and often is, connected to a larger network and access thereto by attack machine 10 may cause damage to a large collection of computer systems commonly located within the network.

In FIGURE 2, there is illustrated a comprehensive intrusion prevention system employing network-based and hybrid host-based/node-based intrusion detection technologies according to an embodiment of the invention. One or more networks 100 may interface with the Internet 50 via a router 45 or other device. In the illustrative example, two Ethernet networks 55 and 56 are comprised in network 100. Ethernet network 55 comprises a web-content server 270A and a file transport protocol- content server 270B. Ethernet network 56 comprises a domain name server 270C, a mail server 270D, a database sever 270E and a file server 270F. A

firewall/proxy router 60 disposed intermediate Ethernets 55 and 56 provides security and address resolution to the various systems of network 56. A network-based IPS appliance 80 and 81 is respectively implemented on both sides of firewall/proxy router 60 to facilitate monitoring of attempted attacks against one or more elements of

5   Ethernets 55 and 56 and to facilitate recording successful attacks that successfully penetrate firewall/proxy router 60. Network-based IPS appliances 80 and 81 may respectively comprise (or alternatively be connected to) a database 80A and 81A of known attack signatures, or rules, against which network frames captured thereby may be compared. Alternatively, a single database (not shown) may be centrally located

10   within network 100 and may be accessed by network-based IPS appliances 80 and 81. Accordingly, network-based IPS appliance 80 may monitor all packets inbound from Internet 50 to network 100 arriving at Ethernet network 55. Similarly, a network-based IPS appliance 81 may monitor and compare all packets passed by firewall/proxy router 60 for delivery to Ethernet network 56. An IPS management node 85 may also

15   be part of network 100 to facilitate configuration and management of the IPS components in network 100.

         In view of the above-noted deficiencies of network-based intrusion prevention systems, a hybrid host-based and node-based intrusion prevention system is preferably implemented within each of the various nodes, such as servers 270A-270N (also

20   referred to herein as "nodes"), of Ethernet networks 55 and 56 in the secured network 100. Management node 85 may receive alerts from respective nodes within network 100 upon detection of an intrusion event by any one of the network-based IPS appliances 80 and 81 as well as any of the nodes of network 100 having a hybrid agent-based and node-based IPS implemented thereon. Additionally, each node

25   270A-270F may respectively employ a local file system for archiving intrusion-related events, generating intrusion-related reports, and storing signature files against which local network frames and/or packets are examined.

         Preferably, network-based IPS appliances 80 and 81 are dedicated entities for monitoring network traffic on associated Ethernets 55 and 56 of network 100. To

30   facilitate intrusion detection in high speed networks, network-based IPS appliances 80 and 81 preferably comprise a large capture RAM for capturing packets as they arrive

on respective Ethernet networks 55 and 56. Additionally, it is preferable that network-based IPS appliances 80 and 81 respectively comprise hardware-based filters for filtering network traffic, although IPS filtering by network-based IPS appliances 80 and 81 may be implemented in software. Moreover, network-based IPS appliances

5   80 and 81 may be configured, for example by demand of IPS management node 85, to monitor one or more specific devices rather than all devices on a common network. For example, network-based IPS appliance 80 may be directed to monitor only network data traffic addressed to web server 270A.

Hybrid host-based/node-based intrusion prevention system technologies may

10   be implemented on all nodes 270A-270N on Ethernet networks 55 and 56 that may be targeted by a network attack. In general, each node is comprised of a reprogrammable computer having a central processing unit (CPU), a memory module operable to store machine-readable code that is retrievable and executable by the CPU, and may further comprise various peripheral devices, such as a display monitor, a keyboard, a mouse

15   or another device, connected thereto. A storage media, such as a magnetic disc, an optical disc or another component operable to store data, may be connected to memory module and accessible thereby and may provide one or more databases for archiving local intrusion events and intrusion event reports. An operating system may be loaded into memory module, for example upon bootup of the respective node, and

20   comprises an instance of a protocol stack as well as various low-level software modules required for tasks such as interfacing to peripheral hardware, scheduling of tasks, allocation of storage as well as other system tasks. Each node protected by the hybrid host-based and node-based IPS of the present invention accordingly has an IPS software application maintained within the node, such as in a magnetic hard disc, that

25   is retrievable by the operating system and executable by the central processing unit. Additionally, each node executing an instance of the IPS application has a local database from which signature descriptions of documented attacks may be fetched from storage and compared with a packet or frame of data to detect a correspondence therebetween. Detection of a correspondence between a packet or frame at an IDS

30   server may result in execution of any one or more of various security procedures.

The IPS described with reference to FIGURE 2 may be implemented on any number of platforms. Each hybrid host-based/node-based instance of the IPS application described herein is preferably implemented on a network node, such as web server 270A operated under control of an operating system, such as Windows NT

5   4.0 that is stored in a main memory and running on a central processing unit, and attempts to detect attacks targeted at the hosting node. The particular network 100 illustrated in FIGURE 2 is exemplary only and may comprise any number of network servers. Corporate, and other large scale, networks may typically comprise numerous individual systems providing similar services. For example, a corporate network may

10   comprise hundreds of individual web servers, mail servers, FTP servers and other systems providing common data services.

Each operating system of a node incorporating an instance of an IPS application additionally comprises a network protocol stack 90, as illustrated in FIGURE 3, that defines the entry point for frames received by a targeted node from

15   the network, e.g. the Internet or Intranet. Network stack 90 as illustrated is representative of the well-known WindowsNT (TM) system network protocol stack and is so chosen to facilitate discussion and understanding of the invention. However, it should be understood that the invention is not limited to a specific implementation of the illustrated network stack 90 but, rather, stack 90 is described to facilitate

20   understanding of the invention. Network stack 90 comprises a transport driver interface (TDI) 125, a transport driver 130, a protocol driver 135 and a media access control (MAC) driver 145 that interfaces with the physical media 101. Transport driver interface 125 functions to interface the transport driver 130 with higher-level file system drivers. Accordingly, TDI 125 enables operating system drivers, such as

25   network redirectors, to activate a session, or bind, with the appropriate protocol driver 135. Accordingly, a redirector can access the appropriate protocol, for example UDP, TCP, NetBEUI or other network or transport layer protocol, thereby making the redirector protocol-independent. The protocol driver 135 creates data packets that are sent from the computer hosting the network protocol stack 90 to another computer or

30   device on the network or another network via the physical media 101. Typical protocols supported by an NT network protocol stack comprise NetBEUI, TCP/IP,

NWLink, Data Link Control (DLC) and AppleTalk although other transport and/or network protocols may be comprised. MAC driver 145, for example an Ethernet driver, a token ring driver or other networking driver, provides appropriate formatting and interfacing with the physical media 101 such as a coaxial cable or another

5   transmission medium.

The capabilities of the host-based IPS comprise application monitoring of: file system events; registry access; successful security events; failed security events and suspicious process monitoring. Network access applications, such as Microsoft IIS and SQL Server, may also have processes related thereto monitored.

10   Intrusions may be prevented on a particular IPS host by implementation of inline, node-based monitoring technologies. The inline-IPS is preferably comprised as part of a hybrid host-based/node-based IPS although it may be implemented independently of any host-based IPS system. The inline-IPS will analyze packets received at the hosting node and perform signature analysis thereof against a database

15   of known signatures by network layer filtering.

In FIGURE 4, there is illustrated a network node 270 that may run an instance of an IPS application 91 and thus operate as an IPS server. IPS application 91 may be implemented as a three-layered IPS, as described in co-pending application entitled "Method and Computer Readable Medium for a Three-Layered Intrusion Prevention

20   System for Detecting Network Exploits" and filed concurrently herewith, and may comprise a server application and/or a client application. Network node 270, in general, comprises a central processing unit (CPU) 272 and a memory module 274 operable to store machine-readable code that is retrievable and executable by CPU 272 via a bus (not shown). A storage media 276, such as a magnetic disc, an optical

25   disc or another component operable to store data, may be connected to memory module 274 and accessible thereby by the bus as well. An operating system 275 may be loaded into memory module 274, for example upon bootup of node 270, and comprises an instance of protocol stack 90 and may have an intrusion prevention system application 91 loaded from storage media 276. One or more network exploit

30   rules, an exemplary form described in co-pending application entitled "Method, Node and Computer Readable Medium for Identifying Data in a Network Exploit" and filed

concurrently herewith, may be compiled into a machine-readable signature(s) and stored within a database 277 that is loadable into memory module 274 and may be retrieved by IPS application 91 for facilitating analysis of network frames and/or packets.

5   In FIGURE 5, there is illustrated an exemplary network node that may operate as a management node 85 of the IPS of a network 100. Management node 85, in general, comprises a CPU 272 and a memory module 274 operable to store machine-readable code that is retrievable and executable by CPU 272 via a bus (not shown). A storage media 276, such as a magnetic disc, an optical disc or another component

10   operable to store data, may be connected to memory module 274 and accessible thereby by the bus as well. An operating system 275 may be loaded into memory module 274, for example upon bootup of node 85, and comprises an instance of protocol stack 90. Operating system 275 is operable to fetch an IPS management application 279 from storage media 276 and load management application 279 into

15   memory module 274 where it may be executed by CPU 272. Node 85 preferably has an input device 281, such as a keyboard, and an output device 282, such as a monitor, connected thereto.

        An operator of management node 85 may input one or more text-files 277A-277N via input device 281. Each text-file 277A-277N may define a network-based

20   exploit and comprise a logical description of an attack signature as well as IPS directives to execute upon an IPS evaluation of an intrusion-related event associated with the described attack signature. Each text file 277A-277N may be stored in a database 278A on storage media 276 and compiled by a compiler 280 into a respective machine-readable signature file 281A-281N that is stored in a database 278B. Each of

25   the machine-readable signature files 281A-281N comprises binary logic representative of the attack signature as described in the respectively associated text-file 277A-277N. An operator of management node 85 may periodically direct management node 85, through interaction with a client application of IPS application 279 via input device 281, to transmit one or more machine-readable signature files (also generally referred

30   to herein as "signature files") stored in database 278B to a node, or a plurality of nodes, in network 100. Alternatively, signature files 281A-281N may be stored on a

computer-readable medium, such as a compact disk, magnetic floppy disk or another portable storage device, and installed on node 270 of network 100. Application 279 is preferably operable to transmit all such signature-files 281A-281N, or one or more subsets thereof, to a node, or a plurality of nodes, in network 100. Preferably, IPS

5   application 279 provides a graphical user interface on output device 282 for facilitating input of commands thereto by an operator of node 85.

Numerous network analysis tools exist and often employ various network capture and/or decode technologies. Network capture systems are responsible for reading and recording network traffic that may be valuable for network performance

10 analysis, such as for performing an analysis of a network attack. Captured data may be viewed offline and, in some network capture systems, in real-time. Capture systems may employ pre-capture filters to reduce the amount of data that is captured by the capture system. "Triggers" may be employed that initiate or halt network capture. Exemplary triggers include pattern-matching triggers, layer 2 and layer 3

15 errors such as checksum errors and threshold triggers such as latency triggers that initiate capture of network traffic when a network transmission latency parameter falls below a predefined threshold.

A protocol decode engine is often utilized in conjunction with a network capture system and facilitates efficient analysis of the information obtained by the

20 network capture system. A decode engine is a software application that reads raw network data, such as binary streams captured off an Ethernet, and converts the captured data into a format suitable for viewing and analysis by a network manager or security personnel. Modern protocol decode engines include graphical utilities and may distinguish between and decode hundreds of network protocols. A protocol

25 decode engine may parse packets of captured network frames and allow selection and display of specific parts of captured data, such as display of TCP packet headers or one or more fields of headers of captured network traffic or display of specific message types of a particular protocol that allows an administrator thereof to quickly sift through and more easily obtain useful information from what is often massive

30 quantities of raw data captured from a network capture system.

The present invention provides a decode engine that is integrated within IPS application 91 that simplifies interpretation of intrusion-related network traffic. With reference to FIGURE 6, there is illustrated an exemplary protocol stack 90A having an Intrusion protection system application inserted therein as described in the

5   abovementioned co-pending application. Network stack 90A comprises TDI 125, transport driver 130, protocol driver 135 and media access control (MAC) driver 145 that interfaces with the physical media 101. Network stack 90A additionally may comprise a dynamically linked library 115 that allows a plurality of subroutines to be accessed by applications 105 and 110 and facilitates linking with other applications of

10   application layer 112 thereby. Dynamically linked library 115 may alternatively be omitted and the functionality thereof may be incorporated into the operating system.

The present invention incorporates a decode engine 450, as illustrated in FIGURE 7, into IPS application service provider 110, also referred to as an IPS server, of IPS application 91. IPS application 91 may be implemented as a three-layered IPS

15   application additionally comprising transport service provider 120 and network filter service provider 140. IPS application service provider 110 comprises an event viewer application 410, a report viewer application 420, a report server application 415 and an event-database 405. An IPS network filter service provider 140 implemented as an intermediate driver bound to MAC driver 145 and protocol driver 135 of network

20   stack 90A comprises an event manager 147B and an associative process engine 147C. Associative process engine 147C employs signature-matching technologies, such as pattern-matching, and is operable to identify network frames and packets having signatures corresponding to one or more signatures logically defined in machine-readable signature files maintained in signature database 277. Upon detection of a

25   correspondence of a network frame or packet and a machine-readable signature file of database 277, the occurrence thereof may be provided to event manger 147B. Network filter service provider 140 may execute one or more of a plurality of directives specified by the machine-readable signature file(s) having a correspondence with the network frame or packet. For example, network filter service provider 140

30   may direct archiving of the identified frame or packet, execution of a countermeasure

such as closing of a network port, invocation of transmission of a report to a management node 85 or execution of another security measure.

Upon detection of an intrusion-event by associative process engine 147C, event manager 147B provides an indication of the occurrence of the intrusion event to

5   IPS application service provider 110 and preferably logs the event in event-database 405. Event logging may include writing a copy of the network frame or packet identified in the intrusion event, reporting an indication of the signature file(s), such as a signature file identification index, determined to have a correspondence with the identified frame or packet, date time stamping information regarding the event,

10   indexing the event with an event number, as well as logging other intrusion event information that may be obtained from network filter service provider 90A.

Event viewer application 410 is operable to retrieve event-data archived in event-database 405 and submit the retrieved data to decode application 450. Decoded event-data may then be returned to event viewer 410 where it is accessible by a client

15   IPS application 111 that may display the decoded data, for example on a graphical user interface 113, provided by client IPS application 111 on a peripheral monitor. Decode application 450 preferably comprises a decode engine 430 and a decode server 425. Decode server 425 provides event-data that may be logged in event-database 405 to decode engine 430. Decode engine 430 preferably comprises logic

20   for interpreting raw network data, such as binary streams of a network frame captured off an Ethernet by network filter service provider 140, and converting the network data into a format suitable for viewing and for facilitating analysis thereof by a network manager or security personnel. Decode engine 430 may distinguish between a plurality of network protocols and may parse packets of captured network frames

25   and provide interpretations of specific parts thereof, such as evaluation of TCP packet headers or one or more fields of headers of captured network packets, evaluation of specific message types of a particular protocol that allows an administrator to quickly analyze the network frame, and may perform other interpretive functions of the captured network traffic stored in event-database 405.

30   Decode server application 425 may receive the decoded event-data provided by decode engine 430 and supply the decoded event-data to event viewer application

410 and/or a report server application 420. Event viewer application 410 may be interrogated by client IPS application 111 for the decoded data associated with a particular intrusion-event. In response to a client interrogation, event viewer may retrieve the subject event-data from event-database 405 and submit the event-data to

5   decode application 450. Decoded event-data returned to event viewer 410 may then be supplied to client IPS application 111 in response to the interrogation submitted by client IPS application 111.

Report viewer 420 may likewise obtain event-data from event-database 405 and submit the event-data to decode application 450 for interpretation thereof. Report

10   server may request a plurality of data files regarding a plurality of intrusion-events stored in event-database 405. A plurality of event-data files obtained from event-database may then be submitted to decode engine 430 for interpretation thereof. Upon interpretation of the intrusion-events, the interpreted data representative of a plurality of events is submitted to report server 415 where it may be compiled into a report

15   documenting various aspects of the plurality of events. The report may be archived in report database 416. Generation of reports may be initiated by a request from client IPS application 111 submitted to report viewer 420 that submits the request as a query to report server 415. A report request from client IPS application 111 may specify a query for a report having information on events having common properties, such as a

20   common type of attack. Other report queries may specify a request for any events occurring during a specified period of time. In general, a report query issued from client IPS application 111 may comprise any query function that may be used to interrogate event-database 405 and accordingly, may included report queries requesting a report containing event specific data, events resulting from network

25   frame matches with one or more particular signature IDs, events occurring during specified periods of time, specific event numbers, or a range of specific event numbers, as well as specifications of any other data that may be logged with event-data in event-database 405.

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